# Application

## for

## United States Letters Patent

To all whom it may concern:

Be it known that

CHUNMING QIAO

has invented certain new and useful improvements in

LABELED OPTICAL BURST SWITCHING FOR IP-OVER-WDM INTEGRATION

of which the following is a full, clear and exact description.

### LABELED OPTICAL BURST SWITCHING FOR IP-OVER-WDM INTEGRATION

## REFERENCE TO RELATED APPLICATIONS

This application claims the filing date of Provisional Patent Application No. 60/269,005, filed on February 15, 2001.

## FIELD OF THE INVENTION

The current invention relates to the field of fiber-optic networks for telecommunications and data communications, in particular IP-over-WDM networking architectures.

## **BACKGROUND OF THE INVENTION**

With recent advances in optical technologies, most notably wavelength division multiplexed (WDM) transmissions, the amount of raw bandwidth available on fiber optic links has increased by several orders of magnitude. Meanwhile, the ubiquity of the Internet Protocol (IP) has led to the much-touted IP-over-WDM as the core architecture for the next generation Optical Internet. This is due mostly to the expectation that such an architecture will streamline both network hardware and related software, and at the same time, result in a flexible and even future-proof infrastructure with virtually unlimited bandwidth. Undoubtedly, harnessing the bandwidth to effectively support IP and other high-layer protocols such as ATM in an efficient and scalable manner is vital to the continued growth of emergent optical networks.

There have been a number of proposed solutions to this problem. These include:

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Wavelength Routing, which involves quasi-statically or dynamically establishing wavelength paths (circuits) for IP traffic; Multi-Protocol Lambda Switching, which extends the control framework of Multi-Protocol Label Switching (MPLS) to wavelength routing by treating each wavelength as a label; Optical Packet Switching: which utilizes the same concept as traditional packet switching, but the payload (data) is kept in the optical domain by using fiber-delay lines (FDL), while the packet header (control info) is processed either optically or converted back to an electronic signal then processed; Optical Label/Tag Switching, which uses a fixed length payload with a header containing a label/tag carried by sub-carrier multiplexing; and Terabit Burst Switching, in which variable length bursts (packets) are sent on a separate wavelength and set-up packets are electronically processed to make open-ended reservation (using explicit release or refresh packets). No offset time (or only an insignificant one) is used between a setup packet and its corresponding burst, which must be delayed using FDLs at intermediate nodes. Generalized MPLS or G-MPLS further extends MPLS to TDM (SONET) networks, but can only apply to wavelengthrouted networks, TDM networks and electronic packet networks, not optical burst switched (OBS) networks.

These prior solutions all fall short in some way. Wavelength-Routing and Multi-Protocol Lambda Switching are not scalable as the number of wavelength paths that can be established is limited. They are also inefficient as the IP traffic is "bursty". Further, traffic aggregation/grooming at the edge, and reconfiguration of wavelength paths are complex. Optical packet-switching, Optical Label switching and Terabit Burst Switching methods all require FDLs, which are bulky and can only provide limited delay, and are uneconomic to implement.

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Recently, *optical burst switching* (OBS) has been proposed as another solution to the problem of harnessing the bandwidth. OBS uses an optical switching paradigm to combine the best features of optical circuit switching and packet/cell switching. It provides improvements over Wavelength-Routing in terms of bandwidth efficiency and core scalability via the statistical multiplexing of bursts. In addition, by sending a control packet carrying routing information on a separate control wavelength (channel) with an *offset* time, i.e., a lead time before the transmission of the corresponding burst (or data), the use of FDLs can be eliminated. The OBS and its operation, as discussed in detail in C. Qiao and M. Yoo, "*Optical Burst Switching (OBS) - A New Paradigm For An Optical Internet*," Journal of High Speed Networks, 1999, Volume 8, Number 1, pp. 69-84, is hereby incorporated by reference as if fully set forth herein.

Furthermore, when compared to Optical Packet Switching where each packet has a fixed length and contains a header, OBS incurs a lower control (and processing) overhead as the length of a burst can be variable, and on average longer than that of a packet. In addition, under OBS a control packet and its corresponding burst can be much more loosely coupled in both space (by using separate control and data wavelengths) and in time (by using a nonzero offset time) than a header and its payload are in Optical Packet Switching, and hence, the requirements on processing control packets, and on synchronizing between bursts (as well as between a burst and its control packet) in OBS can be much less stringent than those on processing packet headers, and on synchronizing between packets (as well as between a packet's payload and its header) in optical packet switching.

Although OBS is a better solution than Wavelength-Routing, Multi-Protocol Lambda Protocol and Optical Packet Switching, it still requires a separate WDM layer (or so-called *optical cloud*) with separate mechanisms for addressing, routing, resource provisioning and so on. The

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advantage of integrating IP-over-WDM, as opposed to having an IP layer as well as a separate WDM layer, is that the integrated solution can reduce redundancies in software and hardware, increase efficiency, facilitate traffic engineering and network survivability, multi-vendor interoperability, interworking between heterogeneous networks, as well as having the potential for migration to optical packet-switched networks in the future.

It is, therefore, an object of the current invention to provide an *integrated* IP-over-WDM network solution to achieve the above advantages. It is a further object of the invention to achieve better bandwidth utilization when compared to previous optical circuit switching methods such as Wavelength Routing where wavelength paths are established using a two way process, by allowing for statistical sharing of each wavelength among flows of bursts that may otherwise consume several wavelengths. One further object is for the invention to support all optical data communications without requiring optical memory devices such as fiber delay lines, and offer interoperability with other MPLS-enabled networks.

#### **SUMMARY OF THE INVENTION**

The current invention teaches an integrated IP-over-WDM networking architecture utilizing a novel node structure called *Labeled* OBS or LOBS, and using Multi-Protocol Label Switching (MPLS) with LOBS specific extensions as the control platform and OBS as the data switching/transport mechanism.

A LOBS node is similar to a *label-switched router* (LSR) in MPLS terms and handles control packets (which contains a label as a part of the control information), and data bursts (each of which can be formed by assembling IP packets, Ethernet frames, ATM cells or other protocol data units

going from a common ingress LOBS node to the same egress LOBS node). More specifically, the LOBS control plane sets up label switched OBS paths or LOBS paths for control packets and their corresponding data bursts. In such a LOBS network, both *explicit routing* (ER) and *constraint-based routing* (CBR) can be used to provision and engineer network resources. Modified/extended *interior gateway protocols* (IGP) can be used to disseminate resource/topology information for avoiding contentions for the same wavelength channel among bursts belonging to different LOBS paths. Finally, network availability concerns can be addressed using the emerging MPLS survivability framework (i.e., alternate/backup channels).

## **DESCRIPTION OF DRAWINGS**

Drawing 1 depicts a Labeled Optical Burst Switching Node.

Drawing 2 depicts the Access Point interface between protocol data unit (PDU) devices (e.g., electronic LSR) and LOBS nodes.

#### DETAILED DESCRIPTION OF THE INVENTION

In the preferred embodiment of the invention, the backbone network will consist of LOBS nodes, including edge (both ingress and egress) LOBS nodes and core LOBS nodes. A LOBS node (showing both edge and core nodes) is shown in Drawing 1. Referring to Drawing 1, the access point (AP) interface (1), burst assembly/disassembly units (2) and LOBS data add/drop functions (3), are needed for edge LOBS nodes only. These are optional for core LOBS nodes. (In Drawing 1, (1), (2) and (3) are collectively grouped as being optional (4) for core LOBS.) FDLs and wavelength conversion capability are optional but preferred at LOBS nodes. LOBS nodes are interconnected

with WDM links, each of which contains one or more control wavelengths, and one or more data wavelengths.

At the access point, PDU devices (5) will be attached to an edge LOBS node. PDUs from these devices are assembled into "bursts" at an ingress LOBS node, and then delivered, in an optical burst switched mode, to an egress LOBS node without going through an Optical/Electrical/Optical (O/E/O) conversion at intermediate (i.e., core) LOBS nodes. The egress LOBS node then disassembles each burst and forwards PDUs to appropriate PDU devices

Turning to the AP interface between PDU devices and LOBS nodes (6): The traffic coming out of PDU devices are likely to be streams of packets (most probably IP packets) carrying various labels, where each label is associated with a specific class of service, and a specific LSP destined to a specific egress LSR attached to an egress LOBS node.

In the preferred embodiment, the interface unit will contain multiple burst assembly/burst disassembly (BA/BD) buffers, one for each egress LOBS node. Each BA buffer is, at least logically, divided into multiple queues, one for each Class of Service with specific delay, loss probability and other Quality of Service (QoS) parameters. See Drawing 2. A major function of the interface unit is to map PDUs to a corresponding BA buffer, where the PDUs are to be assembled into bursts that will be sent on one or more LOBS paths. Multiple LSPs may be mapped onto the same LOBS path (i.e., aggregated), provided that these LSPs are all destined to the same egress LOBS node (but possibly different egress PDU devices such as electronic LSRs attached to the egress LOBS node), and the LOBS path provides compatible (or better) services than required by these LSPs.

PDUs in a BA buffer are assembled into a burst (by adding guard bands at each end). Each PDU retains its MPLS label if any. A PDU's maximum delay budget is defined as the maximum

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time allowed for a PDU, in the absence of in traversal PDU loss, to traverse from an ingress LOBS node to an egress LOBS node. PDUs belonging to different classes of service may have different maximum delay budgets. A PDU will either be assembled into a burst or a following burst, so that the PDU is not fragmented. Assembly of a burst is considered to be complete if its length (in bits or bytes) exceeds a threshold, or if the remaining delay budget of a PDU in the burst reaches zero. The value of the threshold or timer is subject to further investigation. Other burst assembly algorithms are also possible.

Another function of the interface unit is to disassemble and distribute the bursts coming in on different LOBS paths. Burst disassembly is performed by the removal of the guard bands. After burst disassembly, PDUs packets (with their MPLS labels) if any are stored in appropriate BD buffers (which are structured similarly to BA buffers) and then forwarded to egress PDU devices such as electronic LSRs.

After a burst is assembled, an ingress LOBS node constructs a control packet that contains a MPLS header (i.e., 32 bits including a 20bit label), a basic offset time, an extra offset time for QoS support, and the burst length. The label in the MPLS header corresponds to a LOBS path. (How the path is determined is described in further detail below). The control packet will then be transmitted over a control wavelength along the same physical route as that to be taken by the burst along the LOBS path. The corresponding burst is transmitted via the LOBS add/drop unit after the offset time specified by the control packet. Each control wavelength is terminated (i.e., the signals go through O/E/O conversions) at every LOBS node, where the control packet is processed electronically.

At an intermediate LOBS node, the bandwidth on an outgoing data wavelength is reserved (optionally, a FDL and/or a wavelength converter will also be reserved), for the corresponding burst, and the optical burst switching fabric inside the LOBS node is configured slightly before the offset time specified by the control packet (i.e., the expected burst arrival time).

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The control packet may carry a new label as a result of performing the label push/pop/swap function as defined in MPLS. The offset time value is adjusted down to account for the processing delay the control packet experienced at this node. If the bandwidth reservation/switch configuration is successful, the control packet is transmitted to the next LOBS node. When a control packet arrives at an egress LOBS node, it is processed to configure the LOBS add/drop unit (among other tasks), and then discarded. The corresponding burst is received via the add/drop unit by the BD buffer. If, however, the bandwidth reservation/switch configuration at an intermediate LOBS node is not successful, the control packet will be dropped, and a negative acknowledgment (NAK) packet will be sent to the ingress LOBS node. A copy of the PDUs belonging to some Classes of Services will be kept at the ingress LOBS node, which, upon receiving the NAK for the burst containing one or more of these "lost" PDUs, will reassemble the lost PDUs into one or more bursts and retransmit the bursts. The copy of a PDU may be discarded after the maximum round trip time of a burst control packet within the LOBS network

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We now turn to a discussion on how path determination is performed. LOBS nodes will have IP addresses, and an Interior Gateway Protocol (IGP) such as OSPF (Open Shortest Path First) will be augmented/enhanced in order to disseminate the topology information. For example, new Link State Advertisements (LSA) packets will be used to carry information specific to LOBS such as burst profiles and the amount of allocated and free (i.e., available) FDLs at each node. The burst

profile includes the average number and length of bursts that have successfully reserved bandwidth and FDLs, average (and extra) offset time used, average collision/dropping rate and so on. Based on the information obtained by the augmented IGP, a constraint based routing (CBR) or explicit routing (ER) algorithm will be used to determine the routes for LOBS paths.

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The criteria (or QoS parameters) to be used by the CBR/ER algorithm include the expected burst dropping probability, and end-to-end latency. The former is dependent mainly on existing burst profiles, and the latter mainly on the total propagation delay between the node pair. One example of the algorithm is to distribute the load as evenly as possible among the links while trying to reduce the number of hops for each LOBS path.

Once the route for a LOBS path is determined by the CBR/ER algorithm, a constraint routing based label distribution protocol (CR-LDP) or an augmented RSVP protocol is used to establish the LOBS path. Basically, at an ingress LOBS node, the protocol assigns one or more labels (locally unique) to each class of bursts going to an egress LOBS node, and specifies the output link (and possibly the wavelength too when there is no wavelength conversion at the next LOBS node along the predetermined route). For a specific class of bursts between a node pair, a base offset time (at least its range) is determined, so is an extra offset time (which can be increased or decreased on a network wide basis).

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At each intermediate LOBS node, the CR-LDP sets up a mapping between an incoming label on an incoming link to an (assigned) outgoing label and an outgoing link. At this time, wavelength channels may or may not be specified. When specifying wavelength channels, if the node doesn't have the wavelength conversion capability, the same wavelength as the one used by the incoming burst will be used on the output link; otherwise, a different wavelength may be used instead. If

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wavelength channels are not specified by the CR-LDP, the control packet must contain the wavelength channel information and at each intermediate node, the output channel selected must be the same as the input channel if the node does not have wavelength conversion capability, but can be different otherwise. At an egress LOBS node, an incoming label is mapped to a BD buffer corresponding to the class of services the label (or LOBS path) is associated with. In addition, when more than one electronic LSPs with equivalent class of services coming out of electronic LSR's and going to the same egress LOBS node are aggregated onto a LOBS path belonging to that class of service at an ingress LOBS node, the LOBS path will be disaggregated at the common egress LOBS node.

LOBS network survivability issues are addressed based on extensions to several existing schemes for routing primary and backup LSPs. As in MPLS, primary and backup LOBS paths are established. Since OBS allows for statistical multiplexing between bursts, this level of sharing is expected to yield even better efficiency in LOBS networks than in wavelength-routed networks with similar approaches. For example, new protection schemes such as 1+n and n:1 may become possible, whereby a primary LOBS path is protected by n backup LOBS paths, each to carry a fraction (e.g. 1/n th) of the working traffic (bursts). More specifically, one may restore a primary LOBS path by sending some bursts along the same backup route on different wavelengths or even along different backup routes. In such cases, the complexity associated with reordering bursts at the egress LOBS node may increase (note that reordering bursts may be necessary even when 1:1 protection is used since a backup LOBS path may be shorter than its corresponding primary LOBS Additionally, idle resources for backup routes can also be used to carry lower-priority path). preemptable traffic (i.e. bursts), further improving network-level utilization. Compared to

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MPL(ambda)S or wavelength-routed networks, restoration in LOBS networks can be faster because rerouted burst can be sent without having to wait for acknowledgement that the wavelength switches/routers along the predetermined backup LSP have been configured properly.

As a solution to the problem of fault detection and localization, some form of electronic framing/monitoring can be used on embedded LOBS control channels (wavelengths), since these are electronically terminated at each node. Also, monitoring can be done at each LOBS node (i.e. on a hop-by-hop basis) without complex protocols of network level significance since LOBS nodes will simply detect and localize fault events while MPLS signaling will restore service. LOBS nodes can also adopt emerging techniques such as per link/channel monitoring of optical power levels received/transmitted, optical signal-to-noise ratios and so on to detect and localize faults, eliminating the need for any electronic frame monitoring altogether.

In comparing LOBS with prior methods, we can see that LOBS differs from MPL(ambda)S in that in MPL(ambda)S, a label is a wavelength, that is, only one label is mapped to a wavelength, and this mapping lasts for the duration of the label switched path (LSP). Also, data on two or more LSPs (each using a wavelength) cannot be groomed/aggregated onto one LSP (using one wavelength) due to the current lack of wavelength merging techniques. Finally, the underlying optical switch fabric at each node is a cross-connect (or wavelength router). However, under LOBS, multiple labels can be mapped to a wavelength to achieve statistical sharing of the bandwidth of a wavelength among bursts belonging to different LOBS pathss. At each ingress LOBS node, a LOBS path can be mapped to different wavelengths (regardless of any wavelength conversion capability). With wavelength conversion at an intermediate node, a label (or a LOBS path) may be mapped to different wavelengths at different times as well.

Although the present invention and its advantages have been described in the foregoing detailed description and illustrated in the accompanying drawings, it will be understood by those skilled in the art that the invention is not limited to the embodiment(s) disclosed but is capable of numerous rearrangements, substitutions and modifications without departing from the spirit and scope of the invention as defined by the appended claims.